High Prevalence of Extended-Spectrum Beta-Lactamase-Producing Respiratory Bacterial Pathogens in a Nepalese University Hospital: A Vexatious Problem

Shyam Kumar Mishra¹, Hari Kattel², Bharat Mani Pokhrel³, Basista Prasad Rijal⁴

BACKGROUND: Extended-spectrum beta-lactamase (ESBL)-producing bacteria have resulted in vexatious problem to combat lower respiratory tract infection (LRTI). However, no detailed studies have been done in Nepal exploring the status of such pathogens from LRTI. Therefore, this study was carried out to assess the current levels of antimicrobial resistance with special reference to ESBL-producing respiratory bacterial pathogens in Nepal.

METHODS: A prospective study was conducted at Tribhuvan University Teaching Hospital in the capital city of Nepal. Specimens representing lower respiratory tract were received from 1120 patients suspected of LRTI. The specimens were collected and processed according to standard methodology. Combination disk (CD) and disk synergy test (DST) methods were used for the detection of ESBL-producing isolates.

RESULTS: Of the total 314 isolates belonging to Enterobacteriaceae and nonfermentative bacteria, 24.2% were ESBL-producers which included 42.2% of Klebsiella pneumoniae, 8.8% of Pseudomonas species, 41.9% of Escherichia coli, 12.9% of Acinetobacter species, 13.3% of Citrobacter species and 66.7% of Morganella morganii. ESBL-producers were more common among hospitalized patients. For ESBL-producers, the most effective drug was found to be imipenem, meropenem, followed by cefoperazone-sulbactam and amikacin.

CONCLUSIONS: One-fourth of the isolates belonging to Enterobacteriaceae and nonfermentative bacteria were ESBL-producer. It is quite essential to have effective antimicrobial and infection control policy in the hospital and special steps should be taken to prevent clonal dissemination of the resistant strains. Further studies should be done in other hospitals of Nepal to know the phenotype and genotype of ESBL-producing isolates prevailing in our country.

KEY WORDS: Antimicrobial resistance, ESBL, Respiratory pathogens

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Introduction

Acute Lower Respiratory Tract Infection (LRTI) is one of the principal causes of the morbidity and mortality in the world [1]. Almost three-quarters of all antibiotic consumption are for respiratory tract infections [2]. Beta (β)-lactams remain a cornerstone for antimicrobial chemotherapy of a large number of bacterial infections [3] including LRTI, but their efficacy has been increasingly thwarted by dissemination of acquired resistance determinants among pathogenic bacteria.

The exposure of bacterial strains to a large number of β-lactams has induced a dynamic production and mutation of β-lactamase in many bacteria, escalating their activity even against the later generation cephalosporins [4] by the production of extended-spectrum β-lactamase (ESBL) and/or AmpC β-lactamase. These enzymes are often associated with multidrug resistance. AmpC-β-lactamases, in contrast to ESBLs, hydrolyze broad and extended-spectrum cephalosporins (cephamycins as well as to oxyimino-β-lactams) but are not inhibited by β-lactamase inhibitors such as clavulanic acid. Although ESBL- and AmpC-producing strains are typically susceptible to carbapenems, diminished porin expression can make such strains carbapenem-resistant as well.

The resistance mechanisms like ESBL and AmpC are already disseminated on a worldwide scale. The first prevalence study of ESBL-producing bacterial isolates in Nepal showed that more than 20% of clinical isolates were positive for ESBL [5]. However, earlier studies cannot precisely reflect the current status of novel β-lactamases. Despite newer guidelines by European Committee on Antimicrobial Susceptibility Testing (EUCAST) and Clinical

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and Laboratory Standards Institute (CLSI), researchers have recommended continuing to seek ESBLs directly and, where they are found, generally to avoid substrate drugs as therapy [6]. Therefore, the determination of current status of ESBL-producing bacteria following standard methodology is crucial in our context for which this study was conducted among the patients attending Tribhuvan University Teaching Hospital (TUTH), Kathmandu.

Methods

This was a cross-sectional study conducted over a period of six months in the bacteriology laboratory of TUTH. A total of 1120 lower respiratory tract representing specimens received for culture and sensitivity were processed following standard microbiological method [7]. The specimens included sputum (n=1039), endotracheal (ET) secretion (n=61) and bronchial washing (n=20).

Identification of significant isolates was done following standard microbiological techniques which involved morphological appearance of the colonies, Gram's staining reactions, catalase test, oxidase test with other biochemical properties [7]. Firstly, pure form of the culture was obtained from the primary culture by using purity plate and then it was processed for biochemical tests. The biochemical media employed were - Triple Sugar Iron (TSI) agar, Sulphide Indole Motility (SIM) media, Simmon's citrate media, Christensen's urea agar, Decarboxylase test media, Hugh and Leifson's Oxidation-Fermentation test media, Glucose phosphate broth (Methyl red/VogesProskauer test), Phenylalanine agar (Oxoid, UK) and others as required.

The antibiotic sensitivity testing of the bacterial pathogens was performed on Mueller Hinton agar (MHA) (Oxoid, United Kingdom) by Kirby-Bauer disk diffusion method as recommended by CLSI [8]. For disk susceptibility testing, ciprofloxacin, cotrimoxazole, gentamicin, amikacin, cefazidime, ceftriaxone, cefotaxime, cefepime, piperacillin, piperacillin-tazobactam, cefoperazone-sulbactam, meropenem and imipenem were used. Escherichia coli American Type Culture Collection (ATCC) 25922 and Pseudomonas aeruginosa ATCC 27853 strains were also tested, in every set of experiment, in parallel, as a part of quality control. In this study, if the isolates were resistant to at least three classes of first line antimicrobial agents, they were regarded as multidrug resistant (MDR) [9].

Tests for ESBL-production in Gram-negative isolates

SCREENING TEST:  
The initial screening for the production of ESBL was performed by using ceftriaxone (30µg), ceftazidine (30µg) and cefotaxime (30µg) disks (Oxoid, United Kingdom). If the zone of inhibition (ZOI) was ≤ 25 mm for ceftriaxone, ≤ 22 mm for ceftazidine and/or ≤ 27 mm for cefotaxime, the isolate was considered a potential ESBL-producer as recommended by CLSI [8]. The organism was swabbed on to a MHA plate as done for screening test in antibiotic sensitivity test. Then combination disk (CD) and disk synergy test (DST) methods were applied.

CD METHOD  
CD method was also used for the phenotypic confirmation of ESBL-producing strains in which cefotaxime and ceftazidime (30µg), alone and in combination with clavulanic acid (10µg) were used (Becton Dickinson, USA). An increased ZOI of ≥ 5mm for either antimicrobial agent tested in combination with clavulanic acid versus its zone when tested alone confirmed ESBL [8].

DST  
Isolates suspected as ESBL-producer by screening test were tested further by DST [10]. In this method, amoxycillin-clavulanic acid disk (20/10µg) was placed at the center and disks containing 30µg of ceftazidime, cefotaxime and ceftriaxone were placed separately beside 15 mm distance (edge to edge), away from the central disk [11, 12]. Any enhancement of the ZOI between the disks (any of the cefalosporin disks and clavulanic acid containing disk) indicated the presence of ESBL [13]. E. coli ATCC 25922 and K. pneumoniae ATCC 700603 were used as negative and positive controls respectively.

In this study, if repeat cultures were received at intervals of less than every 48 hour, the specimens were rejected. Besides, specimens not fulfilling the criteria of American Society for Microbiology were rejected [7]. Sputum and ET secretions were processed further only if they had more than 25 leucocytes and fewer than 10 epithelial cells per low power field of microscope. Ethical approval was taken from Institutional Review Board of Institute of Medicine to conduct this study. Data were analyzed using Microsoft Excel and interpreted according to
frequency distribution, percentage. Chi-square test was used to determine significant association of different variables and $P$ value of < 0.05 was regarded as significant.

**Results**

Among the total 533 pathogenic bacterial isolates, 314 belonged to Enterobacteriaceae and non-fermentative bacteria. Among them, 76 (24.2%) were ESBL-producer and 25 (8.0%) were screening test positive for AmpC β-lactamase.

ESBL-production was seen in *Klebsiella pneumoniae*, *Pseudomonas* spp., *Acinetobacter* spp., *Escherichia coli*, *Citrobacter* spp. and *Morganella morgani*. Of the total 102 isolates of *K. pneumoniae*, 43 were ESBL-producer. Around 12.9% of *Acinetobacter* isolates were ESBL-producer. Among the 37 *E. coli* isolates, 13 (35.1%) were positive for ESBL. Out of three *M. morgani* isolates, two were ESBL-producing (Figure 1).

ESBL-producers were significantly higher among the inpatients (n=59; 77.6%) as compared to outpatients (n=17; 22.4%). Isolates from medical wards (n=24) and intensive care unit (ICU) (n=23) were found to carry relatively higher frequency of ESBL followed by cardiac care unit (n=6) and surgical unit (n=6).

This study revealed that 71 (93.4%) of the ESBL-producing isolates were sensitive to different antibiotics as follows: imipenem (n=71; 93.4%), meropenem (n=61; 80.3%), cefoperazone-sulbactam (n=54; 71.1%), amikacin (n=52; 68.4%) and piperacillin-tazobactam (n=48; 63.2%) (Table 1). Among the 221 *Enterobacteriaceae* and nonfermentative isolates subjected to two different phenotypic ESBL detection methods, combination disk method detected 76 (34.4%) and DST method detected 63 (28.5%) cases.

Of the total ESBL-positive isolates by DST method, the following numbers of isolates gave clavulanate enhanced synergistic zones with the β-lactam disk - ceftriaxone (n=61; 96.8%), cefotaxime (n=60; 95.2%) and ceftazidime (n=63; 100.0%) (Figure 2).

![Figure 2. Ceftriaxone, cefotaxime and ceftazidime sensitivity in DST method](image)

It was interesting to note that out of 107, 100 and 122 isolates that were susceptible to ceftriaxone, cefotaxime and ceftazidime respectively, 8.4% (9/107), 7% (7/100) and 12.3% (15/122) were found to be ESBL-producers.

**Discussion**

Incidence of antibiotic resistance has increased for respiratory pathogens in such a way that it

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<td>No.</td>
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<td>Ciprofloxacin</td>
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<td>Cotrimoxazole</td>
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<td>Imipenem</td>
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![Figure 1. ESBL-producing gram-negative bacteria](image)

**Table 1. Antibiogram of ESBL-producing bacterial isolates (n=76)**
has complicated the use of empiric treatment with traditional agents [14]. It is interesting to note that bacteria are capable of phenotypic switching of antibiotic resistance which may further result in confusion in treatment [15]. Therefore, antibiogram of the clinical isolates must be generated and the patients should be treated accordingly.

In this study, the decreased susceptibility of gram-negative isolates to third and fourth generation cephalosporins; cefotaxime, ceftiraxone, ceftazidime and cefepime (26.8% to 28.6%) could be attributed to ESBL- or AmpC β-lactamase-production or some other relevant underlying mechanisms. This study showed 24.2% of the gram-negative isolates were ESBL-producers (this accounts for 14.3% of the total bacterial isolates). ESBL production was most common among *K. pneumoniae* (42.2%) which was followed by *E. coli* (41.9%), *Citrobacter* spp. (13.3%), *Acinetobacter calcoaceticus baumannii* complex (12.9%), *Pseudomonas* spp. (8.8%) and *M. morganii*. Previous study in 2004 at the same hospital had shown 24.27% of the total isolates (40.39% of the gram-negative isolates) were positive for ESBL. In that study, 55.0% of *K. pneumoniae*, 50.0% of *E. coli*, and 20.69% of *Pseudomonas* spp. were ESBL-producer [16]. A relatively lower prevalence of ESBL-producing isolates was seen in another study in Nepal (28.6% of *E. coli*, 8.3% of *K. pneumoniae* and 2.4% of *P. aeruginosa*) [17]. However, genotypic confirmation of the ESBL-positive nonfermenters in further studies is needed as phenotypic tests can give false-positive results. Moreover, AmpC production in *Citrobacter* spp. and *M. morganii* can mask additional ESBL-production; therefore, a genotypic confirmation of all *Citrobacter* spp. and *M. morganii* is needed.

The prevalence of ESBL among clinical isolates varies from country to country and institution to institution [18]. This may be ascribed to local antibiotic prescribing habits and presence of pathogens harboring the gene for ESBL production. It has been noted that ESBL-producing isolates are increasing globally [19]. Fortunately, this study showed a decline in the figure of ESBL producers among LRTI isolates at TUTH when compared with previous study [16]. However, the trend should further be monitored closely in days to come.

The SENTRY Antimicrobial Surveillance Programme (1998–2004) among LRTI pathogens in Europe reported that ESBL phenotypes remained essentially unchanged among *E. coli* between 1998 and 2004 (8.2% and 8.3%, respectively), whereas among *K. pneumoniae*, increases were more substantial (16.7% and 26.9%) [20]. The high incidence of *Klebsiella* spp. might be due to their longer survival than other enteric bacteria on hands and environmental surfaces, facilitating cross-infection within hospitals [21]. Though ESBL-producing *K. pneumoniae* were found in less number than previous study in our setting, this study depicts the increasing trend of cefazidime resistance which was 28.9% in 2006, 60.4% in 2007 and 69.6% in 2008 [22]. This could be attributed to other mechanisms of third generation cephalosporins resistance (besides ESBL production), like to AmpC β-lactamase production.

In this study, ESBL-producers were more prevalent among members of *Enterobacteriaceae* and all the ESBL-producing isolates were MDR. ESBL production is coded by genes that are prevalently located on large conjugative plasmids of 80-160 kb in size [23]. Since these plasmids are easily transmitted among different members of the *Enterobacteriaceae*, accumulation of resistance genes results in strains that contain multiresistant plasmids. Therefore, ESBL-producing isolates may confer resistance to different classes of antibiotics as shown in other studies [16-24].

For ESBL-producers, the most effective drug was found to be imipenem, followed by meropenem, cefoperazone-sulbactam, amikacin and piperacillin-tazobactam. However, there was no statistically significant difference between their sensitivity figure against ESBL-producers and non-producers (data not shown). When these antimicrobials were compared regarding their efficacy against ESBL-producers, the difference of piperacillin-tazobactam and cefoperazone-sulbactam was not considerable (P<0.05); nevertheless, imipenem showed significant efficacy as compared to aforementioned combination drugs (P<0.001). However, always jumping directly on carbapenems for cephalosporin resistant bacteria may welcome carbapenem resistance as seen in this study. In India, 22.2% and 17.3% of ESBL-producers showed resistance to meropenem and imipenem respectively [19]. We found carbapenem resistance in isolates of ESBL-producing Acb complex, *Pseudomonas* spp., *Klebsiella pneumoniae* and *Citrobacter* spp. The resistance of several isolates to imipenem and meropenem
in this study raised the suspicion that the ESBL-positive isolates were co-producer of carbapenemases.

β-lactam and β-lactamase inhibitor combinations are usually active against organisms possessing a single ESBL. However, many organisms produce multiple ESBLs which may reduce the efficacy of β-lactam/β-lactamase inhibitor combinations. In vitro resistance of ESBL-producing isolates to such combinations is increasing [25]. The present study showed relatively higher susceptibility of ESBL-producers towards piperacillin-tazobactam which indicates that PER-1 is relatively uncommon in Nepal as compared to other ESBL types. However, the genotyping characterization of ESBL was not done in this study. It should be noted that PER-1 is resistant to tazobactam [25].

The effectiveness of gentamicin was found better for non-ESBL-producers than for ESBL-producers (65.55% vs. 40.79%, P<0.001). As ESBL genes occur predominately on large plasmid carrying multiple resistance, this might have resulted in higher prevalence of gentamicin resistance among ESBL-producing isolates. Likewise, higher resistance was observed among ESBL-producing isolates as compared to non-ESBL producers against other antibiotics tested: ciprofloxacin (19.74% vs. 57.98%, P<0.001), cotrimoxazole (9.21% vs. 27.73%, P<0.001), piperacillin (5.26% vs. 60.50%, P<0.001), ceftazidime (3.95% vs. 36.97%, P<0.001) and cefepime (5.26% vs. 38.66%, P<0.001).

There have been increasing reports of plasmid-encoded resistance to quinolones, frequently in association with plasmid-mediated cephalosporin resistance [21]. There is a strong association between quinolone resistance and ESBL production even when plasmid-encoded decreased quinolone susceptibility is not present. The reason for this association is not well understood [21]. Gunseren et al [25] found that ESBL producers showed 41.3% and 48.2% sensitivity to amikacin and ciprofloxacin respectively while they showed 92.0% sensitivity with imipenem, comparable to the present study.

Out of total 76 ESBL-producing isolates, 77.6% belonged to inpatients while remaining 22.4% were from outpatients. This suggests that there is a threat of drug resistance and dissemination of such strains not only in hospitals but also in the community. Among inpatients, a higher number of the isolates were from medical wards and ICUs. In Turkey, ESBL-producers among ICU isolates were found to range from 21.1% to 58.0% in different hospitals [26]. Third generation cephalosporin such as ceftriaxone, cefotaxime and ceftazidime are extremely used in ICUs and other medical settings even in our part. Therefore, the resistance observed here may have appeared under the selective influence of the extensive usage of these antibiotics. Moreover, the specific risk factors applicable to ICU patients include length of hospital stay, severity of illness, duration of stay in the ICU, and mechanical ventilation.

The ICU isolates harboring ESBL showed resistance rate of more than 60% against cephalosporins, aminoglycosides and quinolones. Though imipenem stood out as the agent with the lowest resistance rate, the resistance rate was still higher (more than 40%). This rate is higher than report in India by Gupta et al (37.3% isolates resistant to meropenem, 31.9% to imipenem) [19]. Likewise, ceftazidime-sulbactam and meropenem were found to have mediocre activity with sensitivity rate of 40.0% to 43.0%. However, in a study [27], low resistance level in P. aeruginosa against piperacillin (4-14%), piperacillin-tazobactam (3-8%), ceftazidime (0-4%), gentamicin (2-9%), and ciprofloxacin (7-11%) was noted in ICU isolates.

Interestingly, ESBL-producing organisms may appear susceptible to some extended-spectrum cephalosporins. However, treatment with such antibiotics has been associated with high failure rates [21]. It is well known that poor outcomes occur when patients with serious infections due to ESBL-producers are treated with antibiotics to which the organisms are resistant [26]. In this study, 8.0% to 12.0% of the gram-negative isolates, susceptible to ceftriaxone, cefotaxime or ceftazidime, were found to be ESBL-producer. Therefore, accurate detection and reporting of ESBL production in clinical isolates are crucial [28]. For this purpose, total 221 primary screening test positive isolates were subjected to DST and CD method for the phenotypic confirmation; the latter method detected more ESBL cases (P>0.05) which was in agreement to the result shown in other studies [11, 29]. The CD method detected thirteen more cases than DST method. A major advantage of the DST method is that it is technically simple; however, the interpretation of the test is quite subjective. Sensitivity may be reduced when ESBL activity is very low, leading to wide zones of inhibition around the cephalosporin disks. This has been noted while testing ESBL detection in Proteus
In this study, three different third generation cephalosporin disks were used for screening ESBL. Cefazidime detected all the ESBL-producers, while cefotaxime and ceftriaxone could not. In a study, 89% of K. pneumoniae isolates met the criteria for a positive phenotypic confirmatory test with both cefazidime and cefotaxime, 9% with cefazidime only and 2% with cefotaxime only [30]. The use of cefazidime alone results in the inability to detect CTX-M-producing organisms [21]. It is emphasized that different cephalosporins with and without clavulonate should be used for the test.

Conclusions

There is still a great threat to patient management due to ESBL-producing isolates. To overcome this problem, there should be a vigilant detection of such isolates in the laboratory. The high prevalence of ESBL has strictly indicated the need for special hospital care for the management of such patients and the prevention of dissemination of such strains. Last but not the least, genotypic characterization of all ESBL-phenotypes should be done in further studies to compare the results with other countries and to see whether there is clonal spread of such strains in our setting.

Conflict of Interest: None declared

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